



Attorney's Docket No.: 06998-023001

Sectoris

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jonathan H. Young et al. Art Unit : 2741  
Serial No. : 09/390,370 Examiner : Unknown  
Filed : September 7, 1999  
Title : EXPANDING AN EFFECTIVE VOCABULARY OF A SPEECH  
RECOGNITION SYSTEM

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER AND REQUEST FOR AUTOMATIC EXTENSION

Correspondence relating to this application is enclosed. The required fees are computed below. Please apply any charges not covered, or any credits, to Deposit Account No. 06-1050.

Total Claims	55	-	20	=	35	\$630
Independent	6	-	3	=	3	\$234

Basic File Fee	\$760
Surcharge for Late Filing of Basic Filing Fee and/or Declaration	\$130
Assignment Recordation Form, Executed Assignment	\$40
Applicant hereby petitions under 37 C.F.R. §1.136 for a 1 month extension of time.	\$110

TOTAL FEE DUE \$1,904

A check for \$ 1,904.00 is attached.

Respectfully submitted,

Date: December 23, 1999

John F. Hayden  
Reg. No. 37,640

JFH/kxm

Fish & Richardson P.C.  
601 Thirteenth Street, NW  
Washington, DC 20005  
Telephone: (202) 783-5070  
Facsimile: (202) 783-2331

40019278.doc

12/27/1999 MMARNDL 00000072 09390370

05 FC:115

110.00 OP



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Applicant : Jonathan H. Young et al.                      Art Unit : 2741  
Serial No. : 09/390,370                                      Examiner : Unknown  
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RECOGNITION SYSTEM

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed September 28, 1999 (a copy of which is enclosed), applicant as a large entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$760;
- ☒ Payment of the additional claims fees of \$864;
- ☒ Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration.
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☒ Applicant hereby petitions under 37 C.F.R. §1.136 for a 1 month extension of time with \$110 fee;
- ☒ Assignment Recordation Form, Executed Assignment and \$40 fee.
- ☒ A check in the total amount of \$1,794 is attached.

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Filed : September 7, 1999  
Page : 2

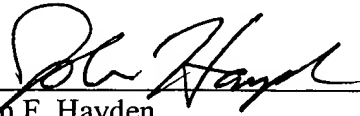


Attorney's Docket No.: 06998-023001

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: December 23, 1999

  
\_\_\_\_\_  
John F. Hayden  
Reg. No. 37,640

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601 Thirteenth Street, NW  
Washington, DC 20005  
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40019276.doc

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

DEC 23 1999

FISH & RICHARDSON, P.C.  
WASHINGTON, D.C.  
PRACTICE SYSTEMS

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/390,370	09/07/99	YOUNG	J 06998/23001

JOHN F HAYDEN  
FISH & RICHARDSON P.C.  
601 13TH STREET NW  
WASHINGTON DC 20004

Docketed By Practice Systems	
Action Code:	0242/0928
Base Date:	9-28-99
Due Date:	11-28-99
Deadline:	3-28-00
Initials:	JFB
Record:	

NOT ASSIGNED

2741

DATE MAILED:

09/28/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$1754.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$630.00 for 35 total claims over 20.

\$234.00 for 3 independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(b), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (705) 208-1202

Docketed By Billing Secretary	
Due Date:	11/28/99
Deadline:	3/28/00
Initials:	JFB

12/27/1999 00000072 09370  
01 FC:101 760.00  
02 FC:105 130.00  
03 FC:103 630.00  
04 FC:102 234.00